

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	7607935
<b>Application Number:</b>	10697851
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	9758
<b>Title of Invention:</b>	Price improvement processor for electronic trading of financial instruments
<b>First Named Inventor/Applicant Name:</b>	Thomas Peterffy
<b>Customer Number:</b>	26486
<b>Filer:</b>	Kathleen Chapman/Jeannette Collymore
<b>Filer Authorized By:</b>	Kathleen Chapman
<b>Attorney Docket Number:</b>	30894-101
<b>Receipt Date:</b>	13-MAY-2010
<b>Filing Date:</b>	30-OCT-2003
<b>Time Stamp:</b>	16:08:12
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$555
RAM confirmation Number	2502
Deposit Account	032410
Authorized User	

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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	01466504.pdf	832952 25ce18a913b53c695ef687a5ad30455f7d89 f205	no	3

### Warnings:

### Information:

2		01466582.pdf	405461 ff43d0eea64318b26b15c5ad8c54cb05915 ec975	yes	13
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### Multipart Description/PDF files in .zip description

#### Document Description Start End

Amendment Submitted/Entered with Filing of CPA/RCE 1 2

Claims 3 9

Applicant Arguments/Remarks Made in an Amendment 10 12

Extension of Time 13 13

### Warnings:

### Information:

3	Fee Worksheet (PTO-875)	fee-info.pdf	29807 a87a6c264e6b1644507e618a4ea639e606 618b4	no	2
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### Warnings:

### Information:

Total Files Size (in bytes): 1268220

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.